12-20-04

Ifw

Express Mail No. EV 576 489 772 US

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(e)

Address to: Mail Stop Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Mail 110. EV 370 407 7	12 03
Attorney Docket	AERX-062DIV
First Named Inventor	SCHUSTER, JEFFREY A.
Application Number	10/773,718
Confirmation No.	8297
Filing Date	February 5, 2004
Group Art Unit	3764
Examiner Name	

Title: "TEMPERATURE CONTROLLING DEVICE FOR AEROSOL DRUG DELIVERY"

Sir:

Applicants submit herewith patents and/or publications which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. While this Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication, or other information referred to therein is "prior art" for this invention unless specifically designated as such. A listing of patents and/or publications is shown on enclosed Form PTO-SB/08A and a copy of each patent and/or publication is also enclosed.

Each item of information contained in the Information Disclosure Statement filed herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement (37 C.F.R. 1.97(e)(1)). A copy of the communication is enclosed for the Examiner's convenience.

The Examiner is requested to make the citations listed on the enclosed PTO-SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO-SB/08A, indicating the references have been considered and made of record herein.

No fee is believed due as this statement is being submitted within three months of the mailing date of the enclosed foreign communication. However, if it is determined that fees are required, the Commissioner is hereby authorized to charge any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-0815, Order No. AERX-062DIV.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date:

BOZICEVIC, FIELD & FRANCIS LLP 1900 University Avenue, Suite 200

East Palo Alto, California 94303

Telephone: (650) 327-3400 Facsimile: (650) 327-3231

 $\mathbf{R}\mathbf{v}$ 

Karl Bozicevic, Reg. No.

## DA 13: December 16, 2004

## EV576489772US

THE EXPRESS MAIL NUMBER OF THE DAY IS: EV576489772US

## **EXPRESS MAIL CERTIFICATION**

<u>Date of Deposit: 12/16/2004</u> I hereby certify that the below-listed papers or fees were inserted into a package addressed to: Commissioner for Patents, PO BOX 1450, Alexandria, Virginia 22313-1450 and such package was deposited by me with the United States Postal Service "Express Mail Post Office Addressee" service under 37 C.F.R. § 1.10 on the date indicated above.

Signature

Date	12		16	10
		,		

Atty. Docket No.	Serial Number	Description	Atty.	Fee
AERX-062DIV	10/773,718	IDS, SB08A, Copy of EP Search dated 09/16/04, (1) Cited Reference w/English Abstract Translation	KYB	
SHIM-015	10/110,030	Transmittal, Amendment*, Seqlist Certification, Paper Copy of Seqlist, (1) CD w/Seqlist in CRF	KYB	
LIFE-075	10/137,097	PTOL-85 in duplicate	CML	\$1,700
BERK-034PRV	60/573,492	Change of Correspondence Address	PAB	
AGIL-234	10/227,018	Drawing Transmittal, Copy of Notice of Draftsman's Review, (6) Sheets of Formal Drawings	BEF	

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT				C	Complete if Known
				Application Number	10/773,718
			RE	Filing Date	February 5, 2004
			TV	First Named Inventor	SCHUSTER, JEFFREY A.
				Group Art Unit	3764
(use as many sheets as necessary)				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	AERX-062DIV

	U.S. PATENT DOCUMENTS							
Examin er Initials'	Cite No. <sup>1</sup>	U.S. Pat Number	ent Documents  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear		

	,		FQ	REIGN PATENT DOCUME	NIS		
Examiner   Cite	Foreign Patent Doo	cuments	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		Kind Code <sup>5</sup> ( <i>if known</i> )				T <sup>6</sup>	
		FR 2 700 6	697	LECAT, ROGER	07-29-1994		Y

	OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS					
Examiner Cite No. 1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						

			_
Examiner	Date	e	
Signature	Con	nsidered	
			_

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.